

Random Fermionic Systems

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Background

- First introduced to study magnetic properties of matter
- Toy model for quantum information { study of entanglement
- Random matrix aspect

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Three papers that inspired this work:

- Lieb-Schultz-Mattis "Two soluble models of an Antiferromagnetic chain"
- Doctoral thesis of Huw Wells supervised by Jon K4.976 cm 1d bychain"

Our object of study: the Hamiltonian

- Self-adjoint operator acting on \mathbb{C}^{2^n}



$$H = \frac{1}{2} \sum_{i,j=1}^n A_{ij}(c_i^y c_j - c_i c_j^y) + B_{ij}(c_i c_j - c_i^y c_j^y)$$

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with $A_{ij} = A_{ji}$; $B_{ij} = -B_{ji}$; i.e. $A = A^t$ and $B = -B^t$.

- c_j 's are fermionic i.e. $\{c_i, c_j\} = 0$; $\{c_i, c_j^y\} = \delta_{ij}$

We take A_{ij}, B_{ij} iid real. Our conclusions:

- Ground state energy gap $O(1/n)$ with explicit formula if Gaussian entries
- DOS $\{$ Gaussian universally, also for A, B band
- No repulsion $\{$ numerics





Universality

- Gaussian DOS vastly universal
- Subset sums: given a set f_1, \dots, f_n and $S_j = \{f_1, \dots, f_n\}$, eigenvalues of H are closely related to $k \in S_j$.
- A lot of information
 - Gaussian DOS
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- Relation to sums of weighted binomial random variables
 { can take Fourier transform explicitly!

Fermionic systems: how they arise?

- n sites with spins that are linear combinations of S^x and S^y (no S^z)
- nearest neighbor interaction { the XY model

Fermionic systems: how they arise?

- n sites with spins that are linear combinations of x and y (no z)
- nearest neighbor interaction { the XY model
- the corresponding Hamiltonian is

$$\sum_{k=1}^{n-1} \left(a \sigma_{k;a}^x \sigma_{k+1}^x + b \sigma_{k;a}^y \sigma_{k+1}^y \right)$$

- Here $\sigma_j^{(a)} = I_2^{(j-1)} \otimes \sigma_j^{(a)} \otimes I_2^{(n-j)}$

Jordan-Wigner transformation

- Maps a spin chain to a quadratic form in fermionic operators: allows for an exact solution
- In reverse: model a system of interacting fermions on a quantum computer

Jordan-Wigner details

- Raising and lowering operators $a_i^y = \frac{x_i}{i} + i \frac{y_i}{i}$ and $a_i = \frac{x_i}{i} - i \frac{y_i}{i}$
- Can recover Pauli spin operators by $\frac{x_j}{j} = (a_j^y + a_j)/2$,
 $\frac{y_j}{j} = (a_j^y - a_j)/2$, $\frac{z_j}{j} = (a_j^y a_j - 1)/2$

Jordan-Wigner details

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- Can recover Pauli spin operators by $\sigma_j^x = (a_j^y + a_j) = 2$,
 $\sigma_j^y = (a_j^y - a_j) = 2i$, $\sigma_j^z = (a_j^y a_j - a_j^y a_j) = 1 = 2$
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- Can recover Pauli spin operators by $x_j = (a_j^y + a_j) = 2, y_j = (a_j^y - a_j) = 2i, z_j = (a_j^y a_j - a_j a_j^y) = 1 = 2i^2$
- Not fermionic
 - Partly fermionic: $f a_j; a_j^y g = 1; a_j^2 = (a_j^y)^2 = 0$
 - Partly bosonic: $[a_j^y; a_k^y] = [a_j^y; a_k^y] = [a_j; a_k] = 0$
- For fermionic let

$$c_j = \exp \left(i \sum_{k=1}^{j-1} a_k^y a_k \right) a_j$$

$$c_j^y = a_j^y \exp \left(i \sum_{k=1}^{j-1} a_k^y a_k \right) :$$

c_j 's and c_j^y 's are fermionic: $f c_j; c_k^y g = \delta_{kj}; f c_j; c_k g = f c_j^y; c_k^y g = 0$

Lieb-Schultz-Mattis Antiferromagnetic Chain '61

- $H = \sum_j (1 + \gamma) x_j x_{j+1} + (1 - \gamma) y_j y_{j+1}$
- Hamiltonian is a quadratic form in Fermi operators and can be explicitly diagonalized

Bipartite Entanglement

Setup: XY and XX models with a constant transversal magnetic field

Study: Entropy E_p of entanglement between subsystems

- Vidal et al. computed E_p numerically
- Jin and Korepin compute E_p for XX model using the Fisher-Hartwig conjecture, which gives the leading order asymptotics of determinants of certain Toeplitz matrices
- Keating and Mezzadri study asymptotics of entanglement of formation of ground state using RMT methods

Wells PhD thesis

Hamiltonians of the form

$$H_n = \frac{1}{n} \sum_{j=1}^n \sum_{a=1}^3 \sum_{b=1}^3 \sum_{a;b;j} \sigma_j^{(a)} \sigma_{j+1}^{(b)} \quad (1)$$

for any $\sum_{a;b;j} \in \mathbb{R}$ random Gaussian (some universality possible)

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Remarks:

Wells Numerics in the XY case

For a Hamiltonian of the form

$$H_n = \frac{1}{n} \sum_{j=1}^n \sum_{a=1}^2 \sum_{b=1}^2 \sigma_j^{(a)} \sigma_{j+1}^{(b)} \quad (2)$$

- Eigenvalue repulsion in the full model and lack of repulsion in the random XY model
- Convergence to a Gaussian in the random XY model
- Numerical estimate of the error in the random XY model is on the order of $1/n$ where n is the number of qubits

Extension by Erdős and Schröder

- Arbitrary graphs with maximal degree $\leq \sqrt{n}$ total number of edges
 - Gaussian DoS
- p -uniform hypergraphs
 - Correspond to p -spin glass Hamiltonians acting on n distinguishable spin-1/2 particles
 - At $p = n^{1/2}$, phase transition between the normal and the semicircle
 - **quantum-classical** transition

Summary

Known:

- DoS, spectral gap in (deterministic) XY model
- DoS in a random neighbor-to-neighbor Hamiltonian with XYZ

Numerics:

- DoS in a random XY model
- Rate of convergence in the random XY model
- Lack of repulsion

We establish:

- DoS in general bilinear forms of fermionic operators
- spectral gap in special cases



Diagonalizing M

- Eigenvalue equation: $\frac{1}{2} \begin{pmatrix} A & B \\ B & A \end{pmatrix} \begin{pmatrix} 1 \\ 2 \end{pmatrix} = \begin{pmatrix} 1 \\ 2 \end{pmatrix}$;
- Equivalent to: $\begin{pmatrix} A_1 & B_2 = 2 & 1; \\ B_1 & A_2 = 2 & 2; \end{pmatrix}$
- If $1 = 1$ and $2 = 1 + 2$, then $\begin{pmatrix} (A + B) & 1 = 2 & 2; \\ (A - B) & 2 = 2 & 1; \end{pmatrix}$

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- Equivalent to: $\begin{pmatrix} A - 1 & B - 2 \\ B - 1 & A - 2 \end{pmatrix} \begin{pmatrix} 1 \\ 2 \end{pmatrix} = \begin{pmatrix} 0 \\ 0 \end{pmatrix} :$

- If $1 = 1$ and $2 = 1 + 2$, then $\begin{pmatrix} (A + B) - 1 = 2 - 2 \\ (A - B) - 2 = 2 - 1 \end{pmatrix} :$

- Note that $(A - B)^T = (A + B)$ and hence we get

$$\frac{1}{4}(A + B)^T(A + B) \begin{pmatrix} 1 \\ 2 \end{pmatrix} = \begin{pmatrix} 1 \\ 2 \end{pmatrix} :$$

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- Equivalent to: $\begin{pmatrix} A_1 & B_2 = 2 & 1; \\ B_1 & A_2 = 2 & 2; \end{pmatrix}$

- If $\lambda_1 = \lambda_1 - \lambda_2$ and $\lambda_2 = \lambda_1 + \lambda_2$, then $\begin{pmatrix} (A+B) & 1 = 2 & 2; \\ (A-B) & 2 = 2 & 1; \end{pmatrix}$

- Note that $(A-B)^T = (A+B)$ and hence we get

$$\frac{1}{4}(A+B)^T(A+B) \begin{pmatrix} 1 \\ 2 \end{pmatrix} = \lambda^2 \begin{pmatrix} 1 \\ 2 \end{pmatrix} :$$

$$2 \cdot (M) \cdot \left(\right) \cdot \rho_{-2} \text{ is singular value of } \frac{A+B}{2} :$$

Need Hermiticity to get new Fermi operators

- Let U be the orthogonal matrix that diagonalizes M .
- Then U is a linear canonical transformation in the sense that

$$U = \begin{pmatrix} G & K \\ G^T & K^T \end{pmatrix} \quad \begin{cases} GG^T + KK^T = I_n \\ GK^T + KG^T = 0_n \end{cases} \quad (3)$$

and

$$UMU^T = \begin{pmatrix} \frac{1}{2} & & & 0 \\ & 0 & & \\ & & & \\ & & & \end{pmatrix};$$

with $\epsilon = \text{diag}(\epsilon_1, \dots, \epsilon_n)$, $\epsilon_i = 0$.

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- Let k^x, k^y operators defined by

—

Diagonalizing H : Fermi basis

- j^- acts as a lowering operator for $|j\rangle$ i.e. if $j^- |j\rangle = |j-1\rangle$ then $j^- |0\rangle = 0$
- j^+ acts as a raising operator for $|j\rangle$

Diagonalizing H : Fermi basis

- j^- acts as a lowering operator for j^y i.e. if $j^y |j\rangle = j |j\rangle$ then $j^- |j\rangle = 0$
- j^+ acts as a raising operator for j^-
- j^+ 's commute so there exists a state $|j\rangle$ which is a simultaneous eigenstate

Diagonalizing H : Fermi basis

- a_j acts as a lowering operator for $|j\rangle$ i.e. if $a_j |j\rangle = |j-1\rangle$ then $a_j |0\rangle = 0$
- a_j^\dagger acts as a raising operator for $|j\rangle$
- $a_j^\dagger a_j$'s commute so there exists a state $|j\rangle$ which is a simultaneous eigenstate
- By raising and lowering the state $|j\rangle$ in all possible combinations, can construct a set of 2^n orthonormal states which are simultaneous eigenstates of the $a_j^\dagger a_j$

Diagonalizing H : subset sums

The spectrum of H is characterized as follows:

$$\boxed{x \in \text{spec}(H) \iff \exists S \subseteq \{1, \dots, n\} \text{ such that } x = c + \sum_{k \in S} \epsilon_k} \quad (4)$$

where $c = \frac{1}{2} \sum_{k=1}^n \epsilon_k$



Ground state energy gap: important physical quantity, reflects how sensitive is the system to perturbations

Theorem 1

For A, B

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Theorem 1

For A, B with iid Gaussian entries up to symmetry, the rescaled energy gap $\frac{\lambda_1}{2n}$ converges in distribution to a random variable whose probability density function is

$$f(x) = (1+x)e^{-\frac{x^2}{2}} \quad x \geq 0;$$

- $X_{2^n} = \frac{1}{\sqrt{2^n}} \sum_{j=1}^n x_j$ and $X_{2^{n-1}} = \frac{1}{\sqrt{2^{n-1}}} \sum_{j=1}^{n-1} x_j$ yielding that

$$X_{2^n} - X_{2^{n-1}} = \frac{1}{\sqrt{2^n}}$$

- Recall that x_j are singular values of $A + B$
- Result for smallest eigenvalue value of Wishhart matrices by Edelman
- Note that $\frac{1}{\sqrt{2^n}}$ is very large compared to mean spacing ($O(1/n)$ instead of 2^{-n})

The relation with iid Bernoullis

Let x_j be the eigenvalues of H . Then

$$x_j = \frac{1}{2} \sum_{k \in S_j} \lambda_k - \frac{1}{2} \sum_{k \in S_j^c} \lambda_k$$

for some $S_j \subset \{1, \dots, n\}$.

Then

$$d_n = \frac{1}{2^n} \sum_{j=1}^n x_j = \text{prob. meas. of } \sum_{j=1}^n (B_j - 1/2)$$

where B_j are n independent Bernoulli random variables.

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Details

1 Lindenberg condition states:

- variances σ_k^2 are finite
- $s_n^2 = \sum_{k=1}^n \sigma_k^2$
- $\lim_{n \rightarrow \infty} \frac{1}{s_n^2} \sum_{k=1}^n E(X_k)^2 \mathbf{1}_{|X_k| > \epsilon s_n} = 0$
- yields convergence to a Normal distribution with variance s_n for sequences of j so that the maximum $\sigma_j < \rho \sqrt{n}$
- will show that the condition on the max is satisfied with $\rho > 1$ as $n \rightarrow \infty$
- a Berry-Esseen estimate yields an error of $O(\frac{1}{\sqrt{n}})$

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2 For the computation of the Fourier transform :

- 1 Fourier transform of $\frac{1}{\sqrt{n}} \sum_{j=1}^n B_j$ ($B_j \in \{-1, 2\}$) is $\cos \frac{t \rho_j}{2 \sqrt{n}}$
- 2 Fourier transform of the DoS is then $\prod_j \cos \frac{t \rho_j}{2 \sqrt{n}}$

Random Matrix Theory

Have to show that $\rho_n \rightarrow \rho_{\bar{n}}$ when n^2 of matrix entries is $1/N$

Our Numerics



Figure: Spacing distribution for the unfolded spectrum.

Our Numerics

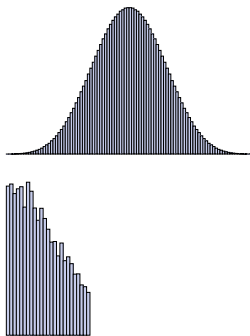


Figure: Density of states and ground state energy gap distribution for Gaussian quadratic form of Fermi operator. Here $n = 16$ (for a sample size of about 50).

Future study

Further questions we want to examine:

- Rate of convergence can probably be improved.
- The bottom eigenvalue of a band covariance matrix.
- In the bulk, the eigenvalues appear to form a Poisson process on the line.
- Speculation: relation to the Berry-Tabor conjecture. Generic integrable system \rightarrow Poisson statistics

Thank you!